

Evolutionary algorithm optimization of biological learning parameters in a biomimetic neuroprosthesis

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Biomimetic simulation permits neuroscientists to better understand the complex neuronal dynamics of the brain. Embedding a biomimetic simulation in a closed-loop neuroprosthesis, which can read and write signals from the brain, will permit applications for amelioration of motor, psychiatric, and memory-related brain disorders. Biomimetic neuroprostheses require real-time adaptation to changes in the external environment, thus constituting an example of a dynamic data-driven application system. As model fidelity increases, so does the number of parameters and the complexity of finding appropriate parameter configurations. Instead of adapting synaptic weights via machine learning, we employed major biological learning methods: spike-timing dependent plasticity and reinforcement learning. We optimized the learning metaparameters using evolutionary algorithms, which were implemented in parallel and which used an island model approach to obtain sufficient speed. We employed these methods to train a cortical spiking model to utilize macaque brain activity, indicating a selected target, to drive a virtual musculoskeletal arm with realistic anatomical and biomechanical properties to reach to that target. The optimized system was able to reproduce macaque data from a comparable experimental motor task. These techniques can be used to efficiently tune the parameters of multiscale systems, linking realistic neuronal dynamics to behavior, and thus providing a useful tool for neuroscience and neuroprosthetics.

Introduction

Combining brain models and neuroprosthetics

The field of computational neuroscience has advanced significantly beyond artificial neural networks by using explicit experimental data to build biomimetic models of brain dynamics that can then be used to perform tasks [1–3]. The brain functions at many different but interdependent spatial and temporal scales, ranging from molecular interactions at the single neuron level, to small circuits of thousands of neurons, to information exchange between multiple areas involving millions of neurons. Biologically realistic models permit us to understand how changes at the molecular and cellular levels effect alterations in the dynamics of local

networks of neurons and interconnected brain areas. At the highest levels, they allow us to connect neural activity to theories of behavior, memory, and cognition. The recent introduction of large neuroscience projects in the United States and the European Union—Brain Research through Advancing Innovative Neurotechnologies (BRAIN) [4] and the Human Brain Project (HBP) [1], respectively—will provide an opportunity to rapidly gather new and more accurate data to incorporate into the multiscale models.

On the other hand, neuroprostheses or brain-machine interfaces belong to an emerging field that aims at decoding electrical signals recorded from the brain. These techniques can, for example, be used to enable people with paralysis to control a robotic arm. Closed-loop neuroprosthetics move a step further, to encode neural signals such that the prosthetic

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59 arm transmits information back into the brain via
60 neurostimulation, allowing users to feel what they are
61 touching. This technology, which would have seemed like
62 science fiction not many years ago, is already being tested in
63 humans and has the potential to improve the lives of millions
64 of people with paralysis [5]. Additional ongoing research is
65 examining applications to other brain disorders, including
66 precisely stimulating brain circuits to bring about memory
67 restoration in patients with amnesia [6].

68 Embedding biomimetic brain models in neuroprosthetic
69 systems has the potential to significantly improve their
70 performance [7–9]. In our paradigm, biological brain
71 circuits interact directly with biomimetic brain simulations,
72 thereby employing biological mechanisms of co-adaptation
73 and learning to achieve a functional task in a biological
74 manner. Importantly, both networks employ neuronal
75 electrical impulses or spikes to process information. This
76 enables activity from the real brain to be seamlessly
77 decoded by the model, and uses the simulated neural
78 patterns to directly stimulate the brain. Potential
79 applications of this approach are numerous, one of the
80 most promising being the development of biomimetic
81 brain-machine interfaces for people with paralysis. The
82 biomimetic model can employ action selection signals from
83 the patient’s brain to generate naturalistic motor signals that
84 enable fine control of a prosthetic limb [7, 10, 11].
85 Similarly, the biomimetic model can be used to replace
86 and/or rehabilitate a damaged brain region [12–15]. To
87 achieve this, the biomimetic model can be connected to the
88 remaining brain regions and tuned to reproduce healthy
89 neural activity and stimulate the damaged region, restoring
90 normal brain function.

91 Neuroprostheses based on biomimetic brain models are a
92 clear example of a dynamic data-driven application system
93 (DDDAS). They require simulation of a multiscale neural
94 system in real time, while continuously learning and
95 adapting the model parameters, based both on the neural
96 activity from the real brain and on sensory feedback from
97 the environment. We demonstrate here that combining the
98 advantages of online biological learning methods [spike-
99 timing dependent plasticity (STDP) and reinforcement
100 learning] with those of an offline batch method
101 (evolutionary algorithm optimization) can be an effective
102 approach to building biomimetic neuroprostheses.

103 **Biological learning and evolutionary optimization**

104 The nervous system makes use of sensory information to
105 rapidly produce behaviorally desirable movements, important
106 for avoiding predators, finding shelter, and acquiring food.
107 Primates use environmental sensory information to control
108 arm movements to reach towards desirable targets.
109 Reinforcement learning via dopamine-modulated synaptic
110 plasticity is one type of learning that is important in producing
111 movements towards goals [16, 17]. Various studies of

reinforcement learning-based motor learning have shown that 112
the process begins with random exploratory movements that 113
may be rewarded or punished via the dopamine 114
neuromodulatory error signal [18]. A Hebbian or spike- 115
timing dependent associated eligibility trace provides credit 116
assignment [17, 19], determining which synaptic connections 117
were responsible for the actions and should be strengthened or 118
weakened. In primates, frontal areas, including primary motor 119
cortex (M1), are innervated by dopaminergic projections 120
from the ventral tegmental area (VTA). These projections 121
have been shown to contribute to M1 plasticity [20], and to be 122
necessary for motor skill learning but not for subsequent 123
execution of the learned task [21]. 124

125 These biological learning methods can be used in
126 biomimetic neuroprosthetic systems to learn associations
127 between real brain activity, a multiscale brain model, and
128 environmental effectors, such as a prosthetic limb. The
129 brain model synaptic connections could be adapted to map
130 brain activity encoding the patient’s intentions to motor
131 commands that drive the prosthetic limb. Reward signals
132 recorded from the real brain could even provide the
133 dopamine modulatory signals used to train the brain model
134 via reinforcement learning [22, 23]. However, the
135 reinforcement learning method itself also requires finding
136 an optimal set of metaparameters that will maximize its
137 efficiency. Examples of these metaparameters include the
138 learning rate, the time window of eligibility traces, or the
139 amplitude of the exploratory movements. Finding optimal
140 solutions in such a complex multiscale system can be
141 extremely time-consuming and inefficient if done manually.

142 One popular approach to optimizing complex
143 multidimensional systems is the use of evolutionary
144 algorithms, which use mechanisms inspired by biological
145 evolution. Within the field of computational neuroscience,
146 evolutionary algorithms have been predominantly applied
147 to the tuning of single-cell models or small groups of
148 neurons [24, 25]. Here, we use them for automated tuning
149 of biological reinforcement learning metaparameters in
150 large-scale spiking networks with behavioral outputs. A
151 fitness function is used to measure the system’s
152 performance associated with each set of metaparameters.
153 This constitutes an example of using evolutionary
154 optimization for indirect encoding, as opposed to direct
155 encoding, since we are tuning metaparameters instead of
156 directly tuning the network synaptic weights. Indirect
157 encoding methods have the advantage of reducing the
158 size of the search space, here from thousands of
159 synaptic weights to a small set of metaparameters. In
160 the present context, the use of indirect encoding was
161 also motivated by our desire to use a biologically
162 realistic learning rule.

163 Parallelization is usually required to make evolutionary
164 algorithms a practicable solution to complex optimization
165 problems. The advancement and proliferation of parallel

166 computing architectures, such as high-performance
167 computing (HPC) clusters and graphics processing units
168 (GPUs), has provided a substrate for the implementation of
169 parallelized evolutionary algorithms. Here, we parallelize
170 an evolutionary algorithm to run in a large HPC cluster,
171 significantly increasing the speed of the automated
172 parameter tuning framework. We further reduce execution
173 time by employing an island model implementation, a
174 parallel computing technique that maximizes the efficiency
175 of the HPC [26].

176 A similar version of this evolutionary optimization
177 method was employed in our previous work [10], although
178 a detailed description was not included. Here, we have
179 improved the algorithm implementation by making use of
180 an island model, and have applied it to a significantly more
181 complex problem. Compared to [10], the current network
182 contains 10 times more neurons, adds a spinal cord and
183 modulatory input from real multielectrode recordings, and
184 can learn to reach two targets instead of one.

185 In related work, a parallel evolutionary algorithm for
186 spiking neural networks was implemented to execute on
187 GPUs for two different scenarios: indirect encoding for a
188 visual system model [27], and direct encoding for a
189 sensorimotor system model [28]. Our methodology differs
190 in that it is implemented on large HPCs instead of GPUs,
191 employs island model techniques to increase efficiency, and
192 uses indirect encoding for a brain model with reinforcement
193 learning in the context of a neuroprosthetic system.

194 **Motor system neuroprosthesis**

195 We evaluated the evolutionary optimization method using a
196 biomimetic model of the motor system with over 8,000
197 spiking neurons and 500,000 synaptic connections (see
198 **Figure 1**). The main component was a biologically realistic
199 model of primary motor cortex (M1) microcircuits based on
200 brain activity mapping [29–31]. This was connected to a
201 spiking model of the spinal cord and a realistic virtual
202 musculoskeletal arm. The arm model included anatomical
203 and mechanical properties of bone, joint, muscle and
204 tendon, as well as inertial dynamics of arm motion.

205 Building on previous work [32, 33], we used reinforcement
206 learning with STDP to adapt the motor system synaptic
207 weights to drive the virtual arm to reach a target.

208 Previously, we have shown that the virtual arm trajectories
209 can be reproduced in real time by a robotic arm [10]. We
210 therefore added the missing piece to obtain a
211 neuroprosthetic system: we modulated the M1 network with
212 activity recorded from macaque monkey premotor cortex
213 [11]. These inputs acted as an action selection signal that
214 dictated which target the virtual/robot arm had to reach. We
215 have previously shown spiking activity from multielectrode
216 recordings can be fed in real time to spiking network
217 simulations [34]. In the future, the system could be
218 extended to form a closed-loop neuroprostheses by

neurostimulating the macaque monkey brain based on 219
activity from the biomimetic network model. 220

Reinforcement learning was now responsible not only for 221
learning appropriate motor and proprioceptive mappings 222
between the M1, spinal cord and arm models, but also to 223
associate premotor cortex spiking patterns to distinct 224
reaching actions. This posed a significant challenge due to 225
the complex multiscale dynamics, ranging from single 226
neurons firing, to microcircuit oscillations, to 227
musculoskeletal arm forces. The parallel evolutionary 228
optimization method proposed managed to find 229
reinforcement learning metaparameters that resulted in 230
successful training of the system. The trained M1 network 231
drove the arm to the target indicated by the recorded 232
premotor cortex input. Arm trajectories and model neural 233
activity were consistent with data from a similar 234
experimental motor task [22]. 235

The biological detail of our model is higher than that of 236
previously published neural models that reproduce a similar 237
reaching task: we implement a spiking neuron model with 238
different synaptic receptors and many biological features, 239
versus, for example, rate models [28]; we have cortical- 240
based recurrent circuits with different cell types, versus 241
more artificial task-oriented circuitries [7, 35, 36]; and we 242
model anatomical and biophysical musculoskeletal arm 243
properties, as opposed to simpler kinematic arm models 244
[28, 35, 36]. Nonetheless, these models include regions that 245
we do not explicitly implement, such as a population to 246
encode reward information [35], posterior parietal cortex 247
for sensory integration [28], or a cerebellum [36, 37]. 248

The rationale for employing biologically detailed models 249
is that it facilitates direct bidirectional interaction with the 250
brain biological networks, including making use of synaptic 251
plasticity at the single cell level to learn a specific behavior. 252
We argue that for the model to respond in a 253
biophysiological manner to ongoing dynamic 254
inputs from the real brain, it needs to reproduce as closely 255
as possible the structure and function of cortical cells and 256
microcircuits. 257

This work demonstrates how to use parallel evolutionary 258
algorithms to automate parameter tuning of reinforcement 259
learning in multiscale brain models. This approach enabled 260
translation of brain neural activity into realistic cortical 261
spiking firing patterns that provided different motor 262
commands to an external environment effector, thereby 263
providing a useful tool to understand the sensorimotor 264
cortex and develop neuroprosthetic systems. 265

In the remainder of this paper, we first describe the motor 266
system model in more detail, as well as the biological learning 267
methods and the evolutionary optimization approach. We 268
then show the results of the optimization process, including 269
the evolution of fitness over generations, as well as several 270
performance measures of the optimized models. We end by 271
discussing some implications of our work. 272

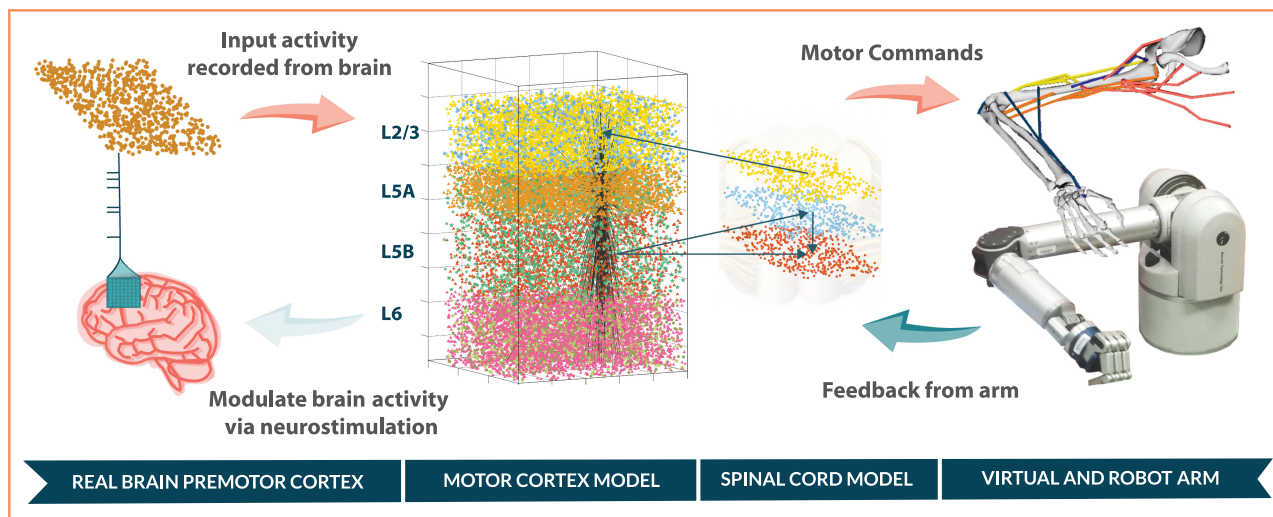


Figure 1

Overview of neuroprosthetic motor system model. Recordings from premotor cortex modulated the primary motor cortex (M1) to select the target to reach. M1 excited the descending spinal cord neurons that drove the arm muscles, and received arm proprioceptive feedback via the ascending spinal cord neurons. The virtual arm trajectory can be reproduced by a robotic arm in real time. To close the loop, neurostimulation could be fed back into the brain based on the motor cortex model activity. L2/3, L5A, L5B, and L6 refer to cortical layers.

273 Methods

274 *Motor system model*

275 We implemented a model of the motor system with the
 276 following components: dorsal premotor cortex (PMd),
 277 primary motor cortex (M1), spinal cord, and
 278 musculoskeletal arm (Figure 1). PMd modulated M1 to
 279 select the target to reach, M1 excited the descending spinal
 280 cord neurons that drove the arm muscles, and received arm
 281 proprioceptive feedback (information about the arm
 282 position) via the ascending spinal cord neurons. Here, we
 283 describe each of the components in more detail.

284 The large-scale model of M1 consisted of 6,208 spiking
 285 Izhikevich model neurons [38] of four types: regular-firing
 286 and bursting pyramidal neurons, and fast-spiking and low-
 287 threshold-spiking interneurons. These were distributed
 288 across cortical layers 2/3, 5A, 5B, and 6, with cell
 289 properties, proportions, locations, connectivity, weights and
 290 delays drawn primarily from mammalian experimental data
 291 [30, 31], and described in detail in previous work [29]. The
 292 network included 486,491 connections, with synapses
 293 modeling properties of four different receptors: AMPA
 294 (α -amino-3-hydroxy-5-methyl-4-isoxazolepropionic acid),
 295 NMDA (N-Methyl-D- aspartic acid), GABAA (type A
 296 gamma-aminobutyric acid), and GABAB (type B gamma-
 297 aminobutyric acid). The model exhibits realistic
 298 physiological properties, including the distribution of firing
 299 rates and local field potential spectra.

300 PMd was modeled using a single population of 736 spike
 301 generators that reproduced activity recorded from the
 302 associated brain area of a macaque monkey during a

reaching task. These were connected to M1 layer 5A cells 303
 via conductance-based synapses to provide the modulatory 304
 input used for target selection. 305

306 A simple model of spinal cord circuits was implemented
 using 1,536 regular spiking neurons, distributed into two 307
 descending populations and one ascending population. 308
 Corticospinal neurons in layer 5B were connected to 309
 excitatory and inhibitory descending spinal cord 310
 populations segregated into four muscle group 311
 subpopulations: flexor and extensor muscles of the shoulder 312
 and elbow. Regular-firing excitatory subpopulations 313
 modeled lower motoneurons by providing excitation to the 314
 corresponding muscles. Low-threshold spiking inhibitory 315
 subpopulations innervated the antagonist muscle 316
 motoneurons, modeling reciprocal inhibition and 317
 preventing antagonist muscles from contracting 318
 simultaneously. Proprioceptive feedback from the arm was 319
 encoded in an ascending spinal cord population, which then 320
 projected to M1 layer 2/3. 321

322 The virtual arm is a biomechanical model of human arm
 musculoskeletal system, constrained to two degrees of 323
 freedom in the horizontal plane. It includes 8 bones, 7 324
 joints, and 14 muscle branches divided into four muscle 325
 groups: flexors and extensors of shoulder and elbow. Arm 326
 dynamics were calculated using an extended Hill-type 327
 muscle model [39], comprising two ordinary differential 328
 equations, which accounts for the force-length-velocity 329
 properties of muscle fibers and the elastic properties of 330
 tendons. The model takes as input an external muscle 331
 excitation signal, and calculates at each time step the 332

333 overall muscle-tendon forces acting on bones. These forces
334 then allow the arm model to obtain the position, velocity,
335 and acceleration of each of the joints via a recursive
336 Newton-Euler algorithm [40]. The model joint kinematics
337 and dynamics were based on anatomical studies and match
338 experimental measurements of an average-sized human
339 adult male. A robotic arm can be made to follow the spiking
340 network-driven virtual arm trajectories in real time.
341 Although the robot arm was successfully tested with the
342 current setup, the experiments in this study do not include
343 the robot arm in the loop. More details on the virtual and
344 robot arm implementations and their interface to the
345 neuronal network can be found in our previous work [10].

346 **Biological reinforcement learning**

347 We modeled the brain's dopamine-based reward circuits by
348 providing a global reinforcement learning signal to
349 modulate plasticity in the cortical neuronal network [41].
350 This signal was based on the state of the environment,
351 which consisted of the virtual musculoskeletal arm and a
352 fixed target in the 2D plane. The system can also be
353 interpreted as an actor-critic reinforcement learning
354 framework, where the neuronal network constitutes the
355 actor, which maps sensory feedback to motor commands
356 that alter the environment (control policy); and the reward
357 system constitutes the critic (value function), which shapes
358 the actor via plasticity to maximize its future rewards [35].
359 The aim was to learn a mapping between the M1 and spinal
360 cord circuits that allowed driving the arm to a target, as well
361 as a mapping between PMd and M1 that mediated target
362 selection.

363 The reinforcement learning signal was calculated at short
364 intervals (range 50 to 100 ms, optimized via the evolutionary
365 algorithm) based on the distance between the virtual hand
366 and the target. If the hand was getting closer to the target,
367 then synapses involved in generating that movement were
368 rewarded; if the hand was getting farther, those synapses
369 were punished. To decide which synapses were responsible
370 for the previous movement (credit-assignment problem), we
371 employed spike timing-dependent plasticity and eligibility
372 traces [19]. Eligibility traces are short-term memory
373 mechanisms that record a temporal event, marking the
374 synapse as eligible for undergoing learning changes.
375 Synapses were tagged when a postsynaptic spike followed a
376 presynaptic spike within the STDP time window. If a global
377 modulatory signal was received within the eligibility time
378 window, a trace was imprinted on tagged synapses, leading
379 to an increase/long-term potentiation (for reward), or
380 decrease/long-term depression (for punishment) of the
381 weight [17]. Plasticity was present in the 158,114 excitatory
382 synapses interconnecting M1 and spinal cord, PMd and M1,
383 and M1 layers 2, 5A, and 5B.

384 We chose to reproduce the classical center-out reaching
385 task, where subjects start with their hand at a center

position, and need to reach to one of two targets placed 15 386
cm to the right or left [42–44]. During the training phase, 387
exploratory movements of the arm were generated by 388
randomly stimulating spinal cord subpopulations 389
corresponding to different muscles. Exploratory behaviors 390
facilitate learning linking a larger space of motor 391
commands to its outcomes and associated rewards. 392

After training, input from PMd should modulate M1 393
activity and select which target the virtual arm will reach. 394
To achieve this, activity from 96 PMd biological neurons of 395
a macaque monkey was recorded during a center-out 396
reaching task to left and right targets. PMd spike patterns 397
were replicated using a model population of spike 398
generators that provided input to the M1 L5A excitatory 399
population. During training, the target to reach, rewarded 400
via reinforcement learning, and the PMd input pattern were 401
alternated every trial, in order to associate each PMd pattern 402
to its corresponding target. 403

The testing or evaluation phase consisted of two 1-second 404
trials with PMd input patterns corresponding to the left and 405
right targets. This means the trained network needs to be 406
able to generate two distinct spiking patterns, which move 407
the virtual arm in opposite directions, depending on the 408
input spiking pattern received from PMd. During testing, 409
arm movements were enabled only after the network had 410
reached a steady state (after 250 ms), to avoid the bursts of 411
activity during the initial transitory period. The system's 412
performance was quantified by calculating the time- 413
averaged pointwise distance between the arm's endpoint 414
trajectory and the target. 415

416 **Parallel evolutionary optimization**

417 The efficiency of the biological reinforcement learning
418 method used to train the motor system is significantly
419 affected by the choice of its metaparameters. Therefore, to
420 maximize the system performance, we must optimize the
421 learning metaparameters within the permitted biologically
422 realistic range. Manually tuning these metaparameters can
423 be a time-consuming and inefficient approach. Evolutionary
424 algorithms provide an automated method to search for the
425 set of parameters that maximize the system's performance,
426 quantified using a fitness function. Following the principles
427 of biological evolution, a population of individuals, each
428 representing a set of genes or parameters, evolves over
429 generations until one of them reaches a desired fitness level.
430 At every generation, individuals are evaluated and selected
431 for reproduction, produce new offspring by crossing their
432 genes and applying random mutations, and are replaced by
433 the fitter offspring.

434 We employed evolutionary optimization to find
435 reinforcement learning-related metaparameters that
436 maximized the motor system performance. Importantly, we
437 did not directly optimize the network synaptic weights
438 (known as direct encoding), and instead we evolved the

Table 1 List of metaparameters optimized using the parallel evolutionary algorithm, including range and optimized value to obtain fitness of 0.619.

<i>Description</i>	<i>Minimum</i> (fitness using minimum)	<i>Max</i> (fitness using maximum)	<i>Optimized value</i>
STDP window duration (ms)	10 (0.557)	50 (0.581)	48.5
Eligibility trace window duration (ms)	50 (0.636)	150 (0.631)	117.8
Training phase duration (s)	30 (0.565)	180 (0.192)	85
RL learning rate	0.01 (0.619)	0.1 (0.444)	0.01
RL interval (ms)	50 (0.466)	100 (0.560)	76.8
Background rate (Hz)	50 (0.516)	150 (0.355)	134.5
Exploratory movements rate (Hz)	5 (0.619)	250 (0.426)	5
Motor command threshold (spikes)	500 (0.566)	2000 (0.531)	528.8
PMd to M1 probability of connection factor	1 (0.619)	8 (0.515)	1.0
Initial PMd to M1 weights	0.5 (0.508)	4 (0.433)	2.4

439 learning metaparameters of the model (indirect encoding).
 440 We optimized a total of 10 metaparameters within a range
 441 of values, such as the reinforcement learning interval or the
 442 amplitude of exploratory movements. The range of values
 443 allowed for each metaparameter was based either on
 444 realistic biological constraints (e.g., the duration of the
 445 STDP or eligibility window), or on empirical observations
 446 derived from previous exploratory simulations (e.g.,
 447 training duration or motor command threshold). See
 448 **Table 1** for a list of metaparameters and their allowed range
 449 of values.

450 To evaluate each individual, that is, each set of
 451 metaparameters, we required a fitness function that
 452 quantified how well reinforcement learning worked using
 453 these metaparameters. Therefore, each evaluation consisted
 454 of training the network via reinforcement learning, and
 455 testing the reaching performance to the right and left targets
 456 using the different target selection PMd input patterns. The
 457 trained network had to generate spiking patterns that
 458 resulted in the virtual arm reaching towards the target
 459 indicated by the PMd input. The fitness function was
 460 calculated as follows:

$$d_{\text{avg}} = ((d_{\text{left}} + d_{\text{right}})/2) - |d_{\text{left}} - d_{\text{right}}|$$

$$\text{fitness} = 1 - ((d_{\text{avg}} - d_{\text{min}})/(d_{\text{max}} - d_{\text{min}})),$$

462
 463 where d_{left} and d_{right} represent the trajectory error, that is, the
 464 time-averaged distance between the arm's endpoint and the
 465 left and right targets, respectively; d_{avg} represents the average
 466 trajectory error for both targets, and includes a term that
 467 penalizes differences between the two trajectory errors to
 468 reduce biases towards one of the targets; d_{min} represents the
 469 trajectory error for a best case scenario, reaching in straight

line from the center to the target, starting after 250 ms and
 470 assuming a maximum speed of 1.0 ms^{-1} and an acceleration
 471 of 5.0 ms^{-2} ; and d_{max} represents the trajectory error for a
 472 worst-case scenario, reaching to the opposite (wrong) target
 473 under the same conditions. Ergo, a fitness of 1 indicates a
 474 fast, straight line reach towards the correct targets, whereas a
 475 fitness of 0 indicates a fast straight line each towards the
 476 opposite targets. The evolutionary algorithm attempted to
 477 maximize the fitness of individuals, which resulted in mini-
 478 mizing the arm trajectory errors to both targets.
 479

Each phase of the evolutionary algorithm has several
 480 parameters that affect, for example, how many individuals
 481 are selected for reproduction, the rate of mutation, or how
 482 individuals are replaced after each generation. We
 483 implemented a canonical evolution strategy technique [45]
 484 with a population of 60 individuals, default selection (i.e.,
 485 all individuals are selected), “plus” replacement, and an
 486 internal adaptive mutation using strategy parameters. The
 487 “plus” replacement method means that only the fittest
 488 individuals will survive after each generation. In other
 489 words, out of 120 individuals (parents and offspring), only
 490 the 60 individuals with the highest fitness values will
 491 remain. Adaptive mutation means that a set of strategy
 492 parameters are used to determine the mutation rate of each
 493 gene or metaparameter i . The mutation rate is updated as
 494 follows:
 495

$$p'_i = p_i + N(0, \sigma_i),$$

497
 498 where p_i represents the i th parameter, $N(0, \sigma)$ represents
 499 the standard normal distribution of mean 0 and standard
 500 deviation σ , and σ_i is the standard deviation associated with
 501 the i th parameter. The strategy parameters are evolved along
 502 with the individuals using the following update equations:

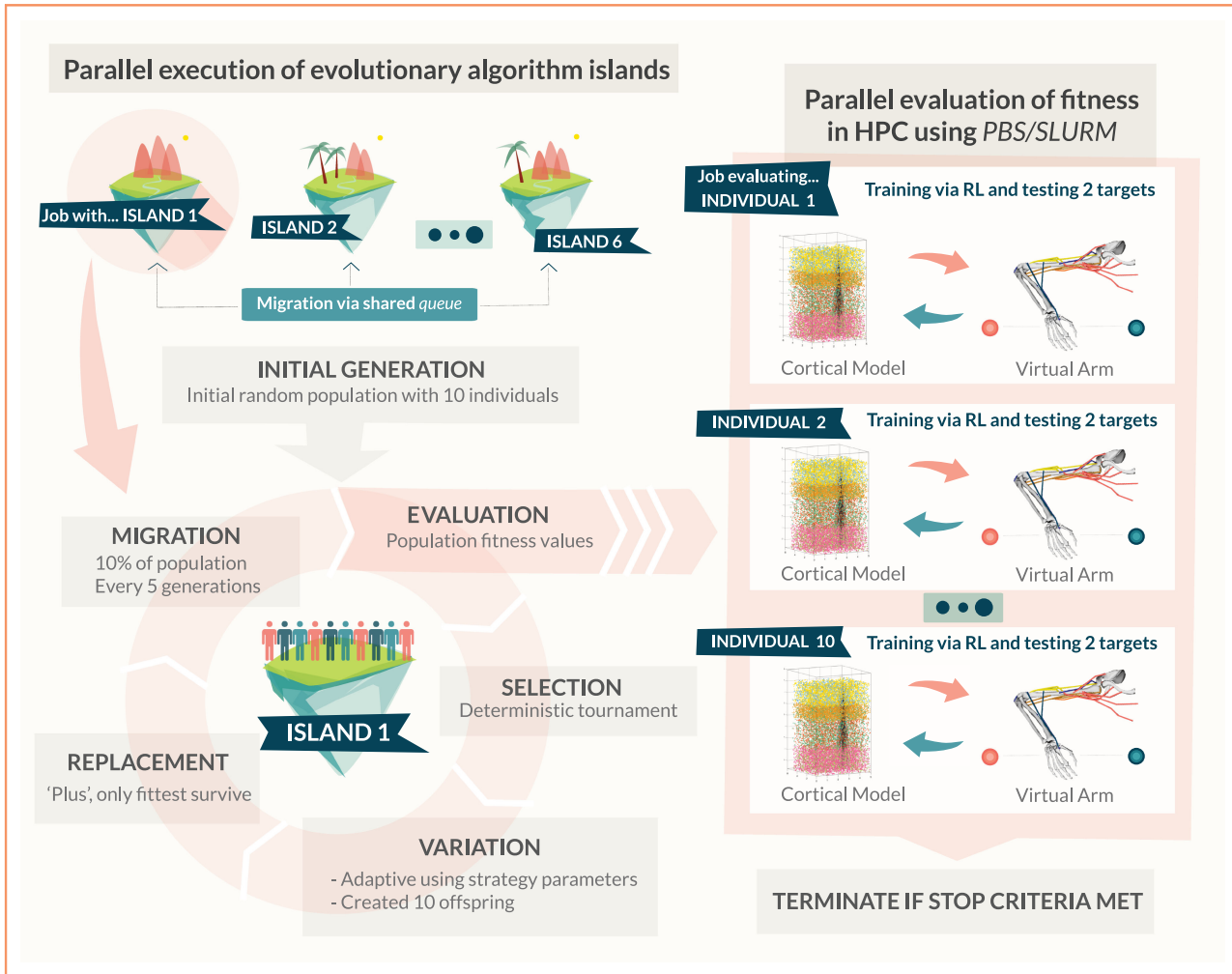


Figure 2

Parallel implementation of the island model evolutionary algorithm. A set of 6 islands is instantiated via multiprocessing parallel jobs, each with a population of 10 individuals that evolve independently. Information between islands is exchanged via migration of individuals implemented using a shared queue. Individuals are selected and mutated using internal adaptive strategy parameters to create new offspring. New individuals are evaluated to obtain their fitness values. Evaluation of fitness functions occurs in parallel in the HPC using PBS/SLURM, with each evaluation consisting of training the motor system model via reinforcement learning (RL), and testing its reaching performance to each of the targets. In every generation, the population is replaced by the fittest individuals out of all the parents and offspring.

$$\sigma'_i = \sigma_i + e^{\tau \cdot N(0,1) + \tau' \cdot N(0,1)}$$

$$\sigma'_i = \max(\sigma'_i, \varepsilon),$$

504

505 where the minimum allowed strategy parameter ε is 10^{-5} ; the
506 learning parameters $\tau = 1/(2 \cdot n^{1/2})^{1/2}$ and $\tau' = 1/(2 \cdot n)^{1/2}$;
507 and n is the number of parameters [45].

508 The parallel implementation of the evolutionary
509 algorithm is illustrated in **Figure 2**. Obtaining an individual
510 with a high fitness (optimized set of metaparameters)
511 requires running the algorithm for many generations.
512 However, each individual evaluation can take more than 1
513 hour if run serially (since the model must be trained and
514 tested), making it an unfeasible option. Parallel computing

515 techniques, such as GPUs, have been previously used to
516 reduce execution time in similar problems [27]. Here, we
517 employed an HPC cluster to execute the fitness evaluations
518 in parallel, drastically reducing computation time. To
519 implement the evolutionary algorithm we employed the
520 open source Python library Inspyred (<https://pypi.python.org/pypi/inspyred>), and adapted it to exploit the parallel
521 computation capabilities of the HPC. A custom Inspyred
522 Evaluator function was defined to submit each function
523 evaluation as a job to the HPC queue. Each fitness evaluation
524 consisted of running a motor system simulation to train and
525 test reaching to the two targets. The network model was
526 parallelized [46] to run on 16 cores, and one additional core
527

528 was used for the virtual musculoskeletal arm. The job
529 scheduling system, Portable Batch System (PBS), together
530 with the resource manager, Simple Linux** Utility for
531 Resource Management (SLURM), were then responsible for
532 distributing the jobs across all computing nodes and
533 returning the results to the master node. The Inspyred
534 Evaluator function waited for all jobs to finish before
535 submitting the fitness evaluations for the next generation.

536 Evolutionary algorithm parallelization typically results in
537 a bottleneck effect, as moving onto the next generation
538 requires waiting for the slowest individual to finish its fitness
539 evaluation (synchronous master-slave mode). Given that one
540 of the metaparameters evolved is the training time, the delay
541 between the fastest and slowest fitness evaluation in
542 populations of 60 individuals can be significant. A useful
543 parallel computing technique to solve this problem is the use
544 of island models. Under this paradigm, the population is
545 divided into several subpopulations (islands), and each one
546 evolves independently. This increases the overall diversity
547 and allows efficient parallelization, given that each island
548 can evolve asynchronously, waiting only for the slowest
549 individual within its population. To add cooperation between
550 islands, and thus regain the benefits a larger population size,
551 migration between islands occurs periodically. Migration
552 entails moving a set of randomly selected individuals to a
553 temporary migration pool, and replacing them with different
554 individuals from that pool [47].

555 Two parameters have a strong effect on the performance
556 of island models: the migration interval (or number of
557 generations between migrations) and the migration size (or
558 the number of individuals migrated each time). Research
559 has shown that island models with an appropriate balance
560 between these parameters are not only more
561 computationally efficient, but can improve the quality of
562 solutions obtained [26]. This results from achieving higher
563 diversity and exchanging enough information to combine
564 the partial results from each island. A study suggests that
565 best performance is achieved with moderate migration
566 intervals (5 to 10 generations) and small migration sizes
567 (5% to 10% of population size) [48]. Here, we chose to
568 divide our single 60-individual population into 6 islands
569 with 10 individuals each, with a migration interval of 5
570 generations and a migration size of 10%. The island model
571 was implemented using Python’s multiprocessing library,
572 where each island was run as separate job. Migration
573 between islands was implemented via a custom Inspyred
574 Migrator class, which employed a communication queue,
575 shared by all jobs/islands, to exchange random individuals
576 periodically.

577 The spiking network simulations were run in parallel
578 using NEURON 7.4 [49] and Python 2.7, on the San Diego
579 Supercomputer Center (SDSC) Comet HPC system with 2.5
580 GHz Intel Xeon** E5-2680v3 processors. The code for the
581 biomimetic neuroprosthetic system, including that used for

the evolutionary optimization process, is open source and 582
available via ModelDB ([https://senselab.med.yale.edu/](https://senselab.med.yale.edu/ModelDB/showModel.cshhtml?model=194897) 583
[ModelDB/showModel.cshhtml?model=194897](https://senselab.med.yale.edu/ModelDB/showModel.cshhtml?model=194897)). 584

585 Results

586 *Fitness evolution*

587 The evolutionary optimization algorithm increased the
588 mean and best fitness values of the population over
589 generations (**Figure 3**, black lines at bottom). Fitness values
590 during the first generations exhibited a large variance
591 (inappreciable/imperceptible in figure), which was rapidly
592 reduced and kept approximately constant for the remaining
593 generations. This is a consequence of the evolution strategy
594 implemented, which only keeps the fittest individuals, and
595 modifies them gradually in small search steps that result in
596 small fitness changes. The best fitness value was 0.619,
597 which was obtained by an individual of island 2 after 942
598 generations. To provide further intuition of the meaning of
599 fitness values, consider that for reaching trajectories
600 measured experimentally (see following section for details),
601 the fitness value would be 0.6845. Also, if the arm remained
602 at the center, the fitness value would be 0.508.

603 Both mean and best fitness values of the 6 island
604 subpopulations (with 10 individuals each) also increased
605 progressively over generations (Figure 3, blue lines). This
606 monotonic increase was ensured by the “plus” replacement
607 method, which only allows the fittest individuals to survive.
608 Islands evolved asynchronously, therefore producing
609 different numbers of generations within the same execution
610 time. Although islands evolved independently, random
611 migration occurred every 5 generations and increased the
612 diversity of the islands by introducing an external
613 individual. Therefore, although the highest fitness values
614 were predominantly obtained by island 2; other islands
615 could have had an effect via migration.

616 Parallelization of the evolutionary optimization process
617 happened at three levels. First, each fitness evaluation
618 consisting of a NEURON simulation to train and test the
619 system was parallelized to use 16 cores. Second, the 10 fitness
620 evaluations required by each island every new generation
621 were also executed in parallel. Finally, the 6 islands were also
622 executed as parallel processes. Every level of parallelization
623 provided a speedup compared to the corresponding serial or
624 sequential equivalent version (**Table 2**).

625 The speedup achieved by parallelizing each simulation
626 on 16 cores was sublinear (11.3×), due to some fixed
627 computational overhead to run and interface with the virtual
628 arm, distribute cells across nodes and gather the spikes
629 back. Parallelizing the execution of the 10 individuals per
630 island also resulted in a sublinear speedup (5.8×), since
631 advancing to the next generation required evaluating all
632 individuals, which implies waiting for the slowest one.
633 Finally, the speedup gained by parallelizing islands was
634 linear (6.0×), since islands evolved independently—they

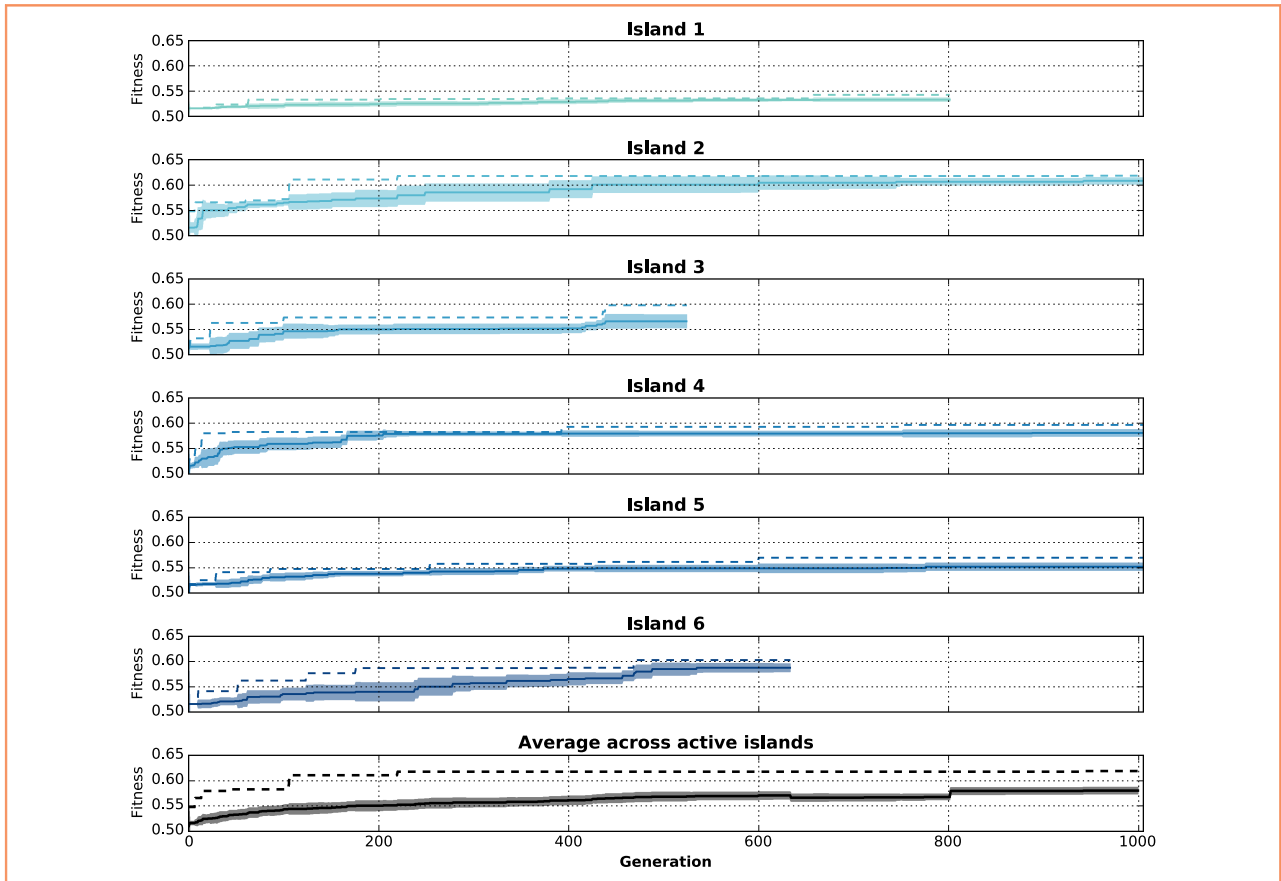


Figure 3

Evolution of the average (solid lines, with shaded areas showing standard deviation) and best (dashed lines) fitness values over 1,000 generations, for each island (blue) and the entire population (black, at bottom). The width of shaded areas corresponds to the standard deviation of the fitness of individuals in each island. Each individual consists of a different set of metaparameters, which are evaluated using a fitness function that reflects the degree of accuracy of the resulting arm trajectory.

Table 2 Speedup achieved by parallelization of the model and evolutionary optimization process for a population of 60 individuals (6 islands).

Description	Cores required (network + arm)	Time/generation (minutes)	Speedup
Purely sequential	1 + 1	2,945.2	1
Parallel simulation (sequential individuals + islands)	16 + 1	260.6	11.3
Parallel simulation + individuals (sequential islands)	160 + 10	44.9	$11.3 \times 5.8 = 65.6$
Parallel simulation + individuals + islands	960 + 60	7.5	$11.3 \times 5.8 \times 6.0 = 393.2$
Parallel simulation + individuals (single population, no islands)	960 + 60	13.0	$11.3 \times 20.0 = 226.6$

635 can advance to the next generation once its 10 individuals
 636 have been evaluated, without depending on the stage of the
 637 remaining islands. In contrast, the single population
 638 approach (no islands) required the full population of 60

individuals to be evaluated each generation, leading to a
 strongly sublinear speedup—60 times more cores only
 achieved a speedup of 20.0×. The island model technique
 increased the speedup by a factor of 1.74. Overall, the island

639
 640
 641
 642

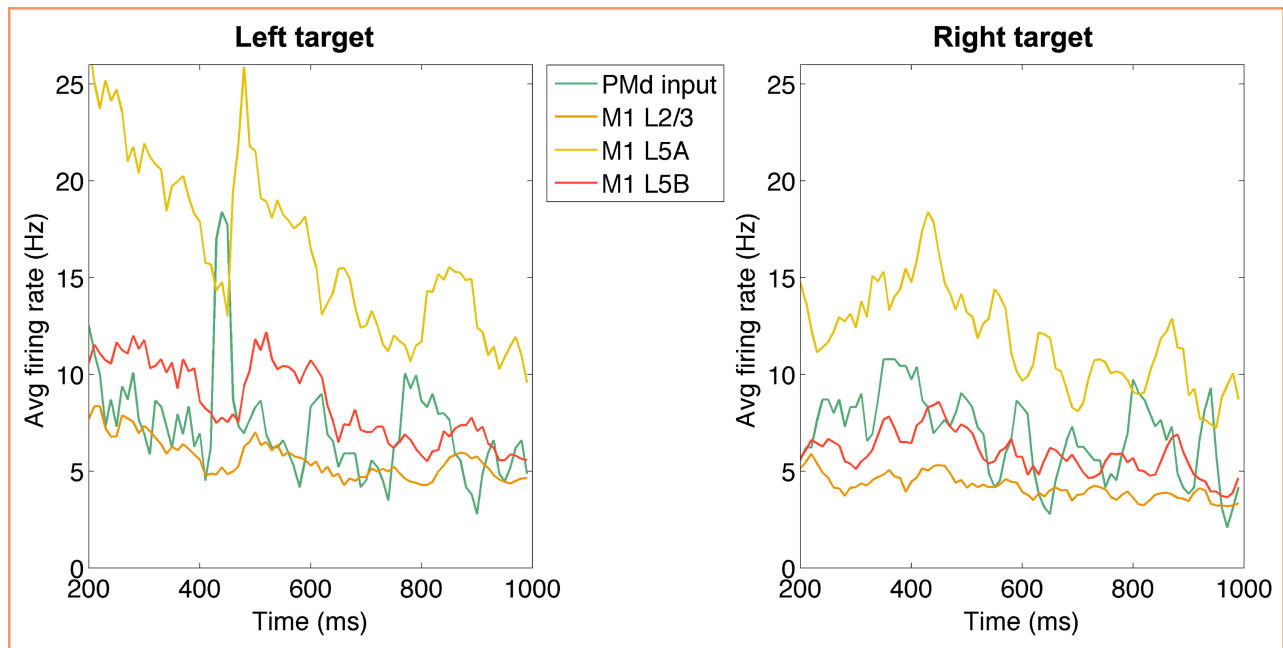


Figure 4

Time-resolved average firing rates of the premotor and motor cortical populations during reaching to two targets. Premotor spiking activity was recorded from a macaque monkey, and is used as a target selection input to the primary motor cortex (M1) model. M1 population firing patterns are modulated by the PMd input and result in different reaching movement (see Table 3). The initial 200 ms of transient activity did not directly affect arm movements and are omitted.

643 model technique together with parallelization of the model
 644 and the optimization process yielded a speedup of $393.2\times$
 645 over the single-core sequential approach (see Table 2).

646 **Optimized model performance**

647 The list of metaparameters optimized, the range of values
 648 explored for each, and the optimal set of values
 649 corresponding to the individual with the highest fitness, are
 650 shown in Table 1. To provide a better understanding of the
 651 effect of each metaparameter, Table 1 also includes the
 652 fitness of the system when the minimum or maximum value
 653 of each metaparameter was used (keeping the optimized
 654 values for the remaining metaparameters). *Exploratory*
 655 *movements rate* and *training phase duration* were the
 656 metaparameters with the highest sensitivity, whereas the
 657 system exhibited highest robustness to variations of
 658 *eligibility trace window duration* and *STDP window*
 659 *duration*. The optimized value of some metaparameters
 660 coincided with its lower bound value (*RL learning rate*,
 661 *exploratory movements rate* and *PMd to M1 probability*).
 662 This could indicate that fitness can be improved by
 663 increasing the range of values allowed for that
 664 metaparameter. However, it could also simply be a
 665 consequence of the stochastic nature of the evolutionary
 666 algorithm. Interestingly, fitness values improved slightly
 667 when using the minimum and maximum values of the

668 *eligibility trace window duration*. This suggests that
 669 performing a standard parameter grid search after the
 670 evolutionary algorithm could be an effective method to
 671 further optimize the system's performance.

672 The optimized set of metaparameter values enabled the
 673 motor system model to learn the 2-target reaching task
 674 employing a biological reinforcement learning method.
 675 Premotor cortex (PMd) spiking activity, recorded from a
 676 macaque monkey during a reaching task, was used as a
 677 target selection input to the primary motor cortex (M1)
 678 model. After training, M1 populations produced different
 679 patterns of activity in response to the different PMd
 680 recorded spiking patterns for each target (Figure 4).

681 We compared model results to macaque monkey
 682 experimental data, including arm trajectories and
 683 multielectrode array extracellular recordings of 110 neurons
 684 from M1 L5. The data corresponds to 10 trials of a center-
 685 out reaching task to right and left targets placed 4 cm
 686 away from the center. Arm trajectory errors were normalized by
 687 target distance to enable comparison between our motor
 688 system model and the experimental task. More details on
 689 the recording procedures and experimental task can be
 690 found in [22].

691 The average firing rate during reaching of layer 5
 692 excitatory neurons for the 10 fittest models
 693 ($14.0 \text{ Hz} \pm 4.5 \text{ Hz}$) was similar to that measured

Table 3 Comparison of normalized arm trajectory error for experimental data vs. the best and worst model solutions (average and standard deviation).

<i>Target</i>	<i>Experiment</i> (10 trials)	<i>Best 10 models</i> (both targets)	<i>Best 10 models</i> (left target)	<i>Best 10 models</i> (right target)	<i>Worst 10 models</i> (both targets)	<i>Worst 10 models</i> (left target)	<i>Worst 10 models</i> (right target)
Right	0.63 ± 0.09	0.85 ± 0.02	1.14 ± 0.09	0.66 ± 0.01	1.08 ± 0.02	0.72 ± 0.04	1.26 ± 0.03
Left	0.73 ± 0.10	0.85 ± 0.02	0.69 ± 0.02	1.21 ± 0.08	1.08 ± 0.02	1.59 ± 0.03	0.80 ± 0.10

694 experimentally (19.3 Hz ± 1.4 Hz). The distribution of
 695 firing rates across cells also exhibited similar statistics for
 696 the top 10 models (median = 20.5 Hz ± 6.0 Hz and
 697 interquartile range = 26.2 ± 8.9 Hz) and experiment
 698 (median = 16.0 ± 1.4 Hz and interquartile
 699 range = 17.3 ± 1.9 Hz).

700 When the model learning metaparameters corresponded
 701 to individuals with the highest fitness values, the arm
 702 trajectory errors were closer to those measured
 703 experimentally (Table 3). Note that fitness takes into
 704 account the trajectory error to both targets. Table 3 also
 705 includes the model solutions that achieve the lowest
 706 trajectory error for a given target, but these show high
 707 trajectory errors to the alternative target. These results
 708 further illustrate the complexity of finding networks capable
 709 of generating good reaching trajectories to both targets.

710 Conclusion

711 Our research lays the groundwork for a new generation of
 712 neuroprosthetic systems, where biological brain circuits
 713 interact directly with biomimetic cortical models, and
 714 employ co-adaptation and learning to accomplish a
 715 functional task. Such a multiscale approach, ranging from
 716 the cellular to the behavioral level, will furthermore provide
 717 deeper insights into brain dynamics and have applications
 718 for the diagnosis and restoration of brain disorders.

719 We have reproduced experimental data of a center-out
 720 reaching task using a biomimetic model of the sensorimotor
 721 system and a virtual musculoskeletal arm. To achieve this
 722 we have combined a biological reinforcement learning rule,
 723 used to adapt the synaptic weights of a cortical spiking
 724 network model during training, with an evolutionary
 725 algorithm to automatically tune the metaparameters of the
 726 system. By evolving a set of indirect parameters or
 727 metaparameters, instead of the direct network parameters
 728 (i.e., the synaptic weights), we were able to employ a
 729 biologically realistic sensorimotor learning approach,
 730 namely, dopamine neuromodulation of STDP. Previously,
 731 we had performed manual metaparameter tuning of similar
 732 models [32, 33]. However, the increased complexity of the
 733 virtual arm, which included many realistic biomechanical
 734 properties—and the more challenging dynamics of the
 735 detailed cortical model, spinal cord, and premotor cortex

target selection inputs—required more sophisticated 736
 methods. We demonstrate the potential of parallel 737
 evolutionary algorithms in providing a solution to the 738
 problem of automated parameter optimization in 739
 biomimetic multiscale neural systems. The solutions found 740
 by our fitting algorithm yielded virtual arm trajectories and 741
 firing rates comparable to those measured experimentally. 742

743 The parallel implementation of the evolutionary algorithm
 744 over a large HPC cluster was achieved by combining the
 745 flexibility of a Python-based optimization package
 746 (Inspyred), with the HPC job scheduling software. Multiple
 747 fitness functions (up to 60) were evaluated concurrently,
 748 where each function consisted of running a NEURON
 749 simulation, which in turn executed, and interacted with, an
 750 instance of the musculoskeletal arm model, developed in
 751 C++. This demonstrates the modularity and adaptability of
 752 the parallel optimization framework, and suggests it could be
 753 useful for a diverse range of models, including those
 754 developed in different languages. Furthermore, our
 755 evolutionary algorithm implementation made use of an
 756 island model technique, whereby the population is
 757 subdivided into smaller groups that evolve independently
 758 and periodically exchange information via migration. This
 759 method significantly reduced the execution time and
 760 increased the HPC CPU usage, by eliminating the bottleneck
 761 caused by the slowest individuals in large populations.

762 Parallel evolutionary algorithms constitute an effective
 763 tool for automated parameter optimization in complex
 764 multiscale systems, such as those linking neural and
 765 behavioral models. These kinds of tools are likely to become
 766 indispensable in the development of hybrid co-adapting
 767 systems where in silico biomimetic brain models interact
 768 with real brains and prosthetic devices [13]. We previously
 769 showed that spikes from multielectrode recordings in
 770 macaque monkeys can be fed in real-time into a biomimetic
 771 model [34]. In this work, we extend this to show how spiking
 772 data recorded from macaque premotor cortex can be used to
 773 modulate a primary motor cortex (M1) model to select a
 774 desired target for reaching. This approach may enable the
 775 development of more advanced control of robotic limbs [10,
 776 50], and have clinical applications by employing electrical or
 777 optogenetic stimulation neural control methods [12, 14, 51]
 778 to restore normal function in damaged brains [52, 53].

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